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PATENT

Practitioner's Docket No. 2955/107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hambrecht et al.

Application No.: 10/632,818

Filed: August 4, 2003

For: Auction System and Method for Pricing and Allocation During Capital Formation

Group No.: 3691

Examiner: Campen, Kelly Scaggs

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

STATUS INQUIRY

1. More than twelve months have passed since the filing of this application on August 4, 2003. No communication has been received from the Patent and Trademark Office indicating action on this application.

2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box on the next page. A stamped return-addressed envelope is provided.

Date: May 3, 2007

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CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

I hereby certify that, on the date shown below, this correspondence is being:

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* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

STATUS INQUIRY REPLY

APPLICATION NO. 10/632,818 IS CURRENTLY

[] ASSIGNED TO GROUP _____ AND AWAITS:

[] ACTION BY THE EXAMINER.

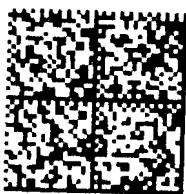
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